



Attorney's Docket No.: H00498/70155 TJO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hong Yang et al.
 Serial No.: 09/940,072
 Confirmation No.: 3068
 Filed: August 27, 2001
 For: FABRICATION OF CERAMIC MICROSTRUCTURES
 Art Unit: 1732

Handwritten mark: a large '1' with a diagonal line through it.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box DD, Commissioner for Patents, Washington, D.C. 20231, on the 13th day of March, 2002.

Handwritten signature of Joan E. Meagher
 Joan E. Meagher

Box DD
 Commissioner for Patents
 Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 (modified) with References Cited
- ☒ Return Postcard

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If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is believed due. If a fee is occasioned or if extensions of time are necessary, please charge the balance to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Handwritten signature of Timothy J. Oyer
 Timothy J. Oyer, Reg. No. 36,628
 WOLF, GREENFIELD & SACKS, P.C.
 600 Atlantic Avenue
 Boston, Massachusetts 02210
 Tel. (617) 720-3500
 Fax (617) 720-2441

Docket No.: H00498/70155 TJO
 Date: March 13, 2002



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Joan E. Meagher

Box DD
Commissioner for Patents
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

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Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, 1.97, and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO 1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Explanation of Non-English References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

PART IV: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,



Timothy J. Oyer, Reg. No. 36,628
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